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Helminth Protection against Autoimmune Diabetes in Nonobese Diabetic Mice Is Independent of a Type 2 Immune Shift and Requires TGF-β

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Leading hypotheses to explain helminth-mediated protection against autoimmunity postulate that type 2 or regulatory immune responses induced by helminth infections in the host limit pathogenic Th1-driven autoimmune responses. We tested these hypotheses by investigating whether infection with the filarial nematode *Litomosoides sigmodontis* prevents diabetes onset in IL-4-deficient NOD mice and whether depletion or absence of regulatory T cells, IL-10, or TGF-β alters helminth-mediated protection. In contrast to IL-4–competent NOD mice, IL-4–deficient NOD mice failed to develop a type 2 shift in either cytokine or Ab production during *L. sigmodontis* infection. Despite the absence of a type 2 immune shift, infection of IL-4–deficient NOD mice with *L. sigmodontis* prevented diabetes onset in all mice studied. Infections in immunocompetent and IL-4–deficient NOD mice were accompanied by increases in CD4+CD25+Foxp3+ regulatory T cell frequencies and numbers, respectively, and helminth infection increased the proliferation of CD4+Foxp3+ cells. However, depletion of CD25+ cells in NOD mice or Foxp3+ T cells from splenocytes transferred into NOD.scid mice did not decrease helminth-mediated protection against diabetes onset. Continuous depletion of the anti-inflammatory cytokine TGF-β, but not blockade of IL-10 signaling, prevented the beneficial effect of helminth infection on diabetes. Changes in Th17 responses did not seem to play an important role in helminth-mediated protection against autoimmunity, because helminth infection was not associated with a decreased Th17 immune response. This study demonstrates that *L. sigmodontis*-mediated protection against diabetes in NOD mice is not dependent on the induction of a type 2 immune shift but does require TGF-β.

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Helminth infections have been shown to ameliorate or prevent the onset of autoimmune diseases in a multitude of animal and human studies (1–9). In this study, we sought to determine whether type 2 or regulatory immune responses induced by helminths were required for protection against autoimmunity.

Unlike other infectious agents, helminths induce type 2 immune responses characterized by eosinophilia, elevated levels of IgE, and increases in T cell production of IL-4, IL-5, and IL-13. Because IL-4 counterregulates Th1 responses by inhibiting Th1 differentiation (10, 11), a type 2 shift might protect against Th1-driven autoimmune diseases by reducing autoimmune Th1-driven pathology. Indeed, several lines of evidence suggest that IL-4 can directly attenuate ongoing Th1-driven autoimmune inflammation. Injection of IL-4 improves proteoglycan-induced arthritis in mice (12), and local delivery of IL-4 by retrovirus-transduced leukocytes improves both experimental autoimmune encephalomyelitis (13) and collagen-induced arthritis (14). Further, expression of IL-4 by pancreatic β cells prevents the onset of autoimmune diabetes in NOD mice (15). In addition to direct effects of IL-4, because signaling through the IL-4R α-chain can induce differentiation of CD4+CD25+ cells into Foxp3+ regulatory cells (16), it is possible that some of the regulatory immune responses induced by chronic helminth infections are dependent on initial type 2 responses. For these reasons, several investigators hypothesized that helminth-mediated protection against autoimmunity may be due, in part, to helminth-driven type 2 immune responses (17–22). Because work is being conducted to develop helminth-based therapies for autoimmune diseases, and because type 2 responses can drive allergic diseases, in this study we evaluated whether helminth-mediated type 2 responses were necessary for protection against autoimmunity.

Another leading hypothesis states that helminth infections protect against Th1-associated autoimmune diseases by inducing immune regulatory networks driven by regulatory T cells, IL-10, and TGF-β (17–19, 22). With regard to type 1 diabetes, several studies demonstrated that regulatory T cells are crucial in maintaining peripheral tolerance and suggest that a loss of regulatory T cells or their suppressive function may contribute to diabetes development (23–25), whereas the transfer of Ag-specific regulatory T cells can delay diabetes and even reverse diabetes de-
velopment (26, 27). Therefore, induction of a large regulatory T cell population by helminths may be sufficient to prevent diabetes (28). Helminth-induced production of the anti-inflammatory cytokines IL-10 and TGF-β may further contribute to the protective effect against diabetes onset. Although two studies showed IL-10 to have a paradoxical effect of accelerating type 1 diabetes development (29, 30), IL-10 was also shown to be protective (31).

Recently, we demonstrated that infection with Litomosoides sigmodontis, a filarial roundworm parasite of rodents in which adult worms reside in the pleural space and first-stage larvae (microfilariae) circulate in the blood, fully prevents the onset of diabetes in NOD mice (1). This protection was associated with elevated levels of IL-4 production, a type 2 shift in autoantigen Ab isotype profiles, and an increased total number of splenic CD4+ CD25+Foxp3+ regulatory T cells (1).

In the current study, we tested the hypothesis that helminth infections protect against Th1-driven autoimmune diseases through induction of a type 2 shift by infecting IL-4–deficient NOD mice with L. sigmodontis. Subsequent experiments analyzed the role of helminth-induced regulatory immune responses, including regulatory T cells, IL-10, and TGF-β.

Materials and Methods
Mice and parasites
Female NOD/LJ, IL-4–deficient NOD.129P2(B6)-Il4−/−/Prkdcscid/J, NOD/ ShhLt-Tg(Foxp3-EGFP/cre)1BjoJ, and NOD.CB17-Prkdcscid/J mice (The Jackson Laboratory) were maintained at the Uniformed Services University (USU) animal facility with free access to food and water. All experiments were performed under protocols approved by the USU Institutional Animal Care and Use Committee. A subset of experiments was performed at the Institute for Medical Microbiology, Immunology, and Parasitology, according to the animal welfare guidelines and approved by the German ethical board for animal experiments. Infectious-stage L3 larvae from L. sigmodontis were isolated by lavage from the pleural cavity of 4-d infected jirds (Meriones unguiculatus) from the German ethical board for animal experiments. Experiments using transgenic expression of TGF-β in islets or muscle cells clearly showed TGF-β to be protective in autoimmune diabetes (32–34).

Spleen and pancreatic lymph node cells were prepared for flow cytometric analysis, as previously reported (1). In brief, after 2 h of incubation, BD GolgiStop (BD Biosciences, San Jose, CA) was added, and cells were incubated for an additional 4 h. Collected cells were fixed and permeabilized (eBioscience) overnight. For analysis, cells were washed once with PBS/1% BSA (Sigma, St. Louis, MO), followed by a blocking step with PBS/1% BSA. Cells were stained for five- or four-color flow cytometry using CD4 PerCP, IL-4allophycocyanin (BD Biosciences), CD8a Pacific Blue, IFN-γ FITC, and IL-17 PE (eBioscience) or CD4 PerCP, Foxp3 FITC, CD25 allophycocyanin-Alexa Fluor 750, and IL-10 PE or CTLA-4 PE (eBioscience). Measurement of proliferation was done by staining of fixed cells, which were treated, as described above, with K66 PE, CD4 PerCP (BD Biosciences), CD25 allophycocyanin-Alexa Fluor 750, and Foxp3 FITC (eBioscience). For determination of regulatory T cell numbers and regulatory B cell frequency, fixed and cryopreserved (PBS/10% DMSO) spleen cells were washed once, blocked with CD16/CD32 (BD Biosciences), and stained with CD4 Qiot 605 (Invitrogen), CD8 PE Cy5, Foxp3 FITC (all from eBioscience), CD25 allophycocyanin-Alexa Fluor 750, or B220 PerCP, CD1d FITC, CD5 allophycocyanin (all from BD Biosciences).

Flow cytometry was performed using a BD LSR II system and subsequently analyzed with FACSDiva 6.1.2 software (BD Biosciences). During analysis, cut-offs for cytokine and CD25 positivity were set using the fluorescence minus one approach, and the cut-off for K66 was determined using an isotype control.

Measurement of cytokines and Abs by ELISA
Cytokine ELISAs were performed on spleen and pancreatic lymph node cells, as previously described (1). In brief, culture supernatants from cells that were cultured, as described above, and stimulated with anti-CD3 and anti-CD28 were collected after 72 h of incubation. IFN-γ, IL-5, IL-13, IL-17, and TGF-β were quantified according to the manufacturer’s instructions (IFN-γ, IL-5, IL-10: BD Biosciences; IL-13, TGF-β: R&D Systems, Minneapolis, MN; IL-17: eBioscience). To measure bioactive TGF-β from plasma, samples were not activated by acidification, and bioactive TGF-β was measured using the TGF-β ELISA from eBioscience.

Plasma-derived insulin-specific IgG1 and IgG2c, as well as total IgE, were analyzed by sandwich ELISA, as previously described (1). All samples were analyzed as duplicates at the same time on the same plate to allow accurate comparison between groups by OD.

Depletion of TGF-β and CD25+ cells and blocking of IL-10R
Starting at 8 wk of age, 2 wk after a subset of mice was infected with L. sigmodontis, NOD mice were treated i.p. every 5 d with 500 μg anti–IL-10R (clone 1B13.3A) or 500 μg rat IgG1 isotype (clone HRPN) or three times a week with 100 μg anti–TGF-β (clone ID11.16.8) or 100 μg mouse IgG1 isotype (clone MOPC-21; all from Bio X Cell, West Lebanon, NH) until 16 wk of age.

CD25+ cells were depleted in NOD mice that were 9 wk of age, 4 wk after a subset of mice was infected with L. sigmodontis. Mice were injected weekly i.p. with 1 mg anti–CD25 (PC61 5.3; American Type Culture Collection, Manassas, VA) and rat IgG1 isotype until 20 wk of age. Anti-CD25 Ab was obtained from PC61 rat B cell hybridoma clones that were cultured as recommended by American Type Culture Collection. Culture supernatant was collected every 2–4 d and stored at 4°C. PC61 Abs were purified from the culture supernatant via a protein G column and subsequently desalted using fast protein liquid chromatography. The Abs were sterile filtered using a 0.22-μm filter (Millipore) and the concentration was measured using the bicinchoninic acid assay (Thermo Fisher Scientific, Rockford, IL).

Transfer experiments into NOD.scid mice
A subset of female NOD mice that expresses eGFP on Foxp3+ cells was infected with L. sigmodontis at 6 wk of age; at 14 wk of age, spleen cells
were isolated, as described above. A subset of the spleen cells was resuspended in HBSS-enriched media (4:1) immediately before cell sorting. 7-aminoactinomycin D-, Foxp3−, and Foxp3+ cells were sorted using a BD FACSAria. Immediately before the injection into NOD.scid mice, cells were washed twice with RPMI 1640. A total of 8 × 10^5 spleen cells from diabetic NOD mice (glucose > 500 mg/dl) was injected into the tail vein of 6-wk-old female NOD.scid mice, together with 1 × 10^7 whole spleen cells or 1 × 10^7 spleen cells depleted of Foxp3+ cells from L. sigmodontis-infected animals and controls. In a separate experiment, NOD.scid mice were injected i.v. with 1 × 10^7 whole spleen cells or 1 × 10^7 spleen cells depleted of Foxp3+ cells from 14-wk-old mice that had been infected with L. sigmodontis for 8 wk and from age-matched control mice in the absence of spleen cells from diabetic mice.

**Statistics**

Statistical analyses were performed with GraphPad Prism software (GraphPad Software, San Diego, CA). Differences between multiple groups were tested for significance using the Kruskal–Wallis test, followed by Dunn post hoc multiple comparisons. Differences between two unpaired groups were tested for significance with the two-tailed Mann-Whitney U test. The p values < 0.05 were considered significant.

**Results**

**No type 2 cytokine shift in L. sigmodontis-infected IL-4−deficient NOD mice**

To determine whether IL-4 deficiency in NOD mice abrogates the type 2 immune shift induced by L. sigmodontis infection, we compared cytokine production from splenocytes and pancreatic lymph node cells of infected or uninfected IL-4−competent mice with those from infected or uninfected IL-4−deficient mice 1–2 wk after development of diabetes in uninfected mice (range, 16–25 wk; mean 21 wk). Importantly, both immunocompetent and IL-4−deficient NOD mice were infected with L. sigmodontis, with each group harboring an average of two or three adult worms per mouse in the pleural space at the study end point (data not shown).

Cytokine analysis from immunocompetent NOD mice revealed that helminth infection increased the production of the type 2 cytokines IL-4 (Fig. 1A) and IL-5 (data not shown), but not IL-13 (Fig. 1B), from anti-CD3/anti-CD28-stimulated spleen cells, as well as release of IL-4 and IL-13 from pancreatic lymph node cells compared with uninfected controls (Fig. 1D, 1E). Because IFN-γ production from spleen cells (Fig. 1C) or pancreatic lymph node cells (Fig. 1F) between infected and uninfected NOD mice was not significantly different, these results demonstrated that L. sigmodontis infection induces a general type 2 shift in cytokine production in NOD mice. Of note, this type 2 shift was also observed in comparison with age-matched uninfected nondiabetic controls. Similar to the comparisons with uninfected diabetic controls, L. sigmodontis-infected NOD mice had increased production of splenic IL-4, no differences in IL-13 concentrations, and decreased IFN-γ levels compared with uninfected nondiabetic controls in response to polyclonal activation (Supplemental Fig. 1A–C). The Th2 shift in cytokine production was already present at 12 wk of age, 6 wk post-L. sigmodontis infection, because anti-CD3/anti-CD28-stimulated spleen cells of L. sigmodontis-infected NOD mice produced more IL-4, but less IFN-γ, compared with uninfected controls (Supplemental Fig. 1D, 1E).

In contrast, IL-4−deficient NOD mice were not able to mount a type 2 shift in cytokine production when infected with L. sigmodontis. Concentrations of IL-5 (data not shown) and IL-13 (Fig. 1B) produced from splenocytes and IL-13 production from pancreatic lymph node cells (Fig. 1E) of infected IL-4−deficient NOD mice were equivalent to those of uninfected IL-4−deficient NOD mice and lower than those of helminth-infected immunocompetent NOD mice (p > 0.05 for IL-13 in the pancreatic lymph node). As expected, IL-4 was not detected in IL-4−deficient mice (Fig. 1A).

Additionally, there were no statistically significant differences in splenic (Fig. 1C) and pancreatic lymph node IFN-γ production (Fig. 1F) between infected and uninfected IL-4−deficient NOD mice, although frequencies of splenic CD4+IFN-γ−, but not CD8+ IFN-γ−, T cells (data not shown) were elevated in infected IL-4−deficient NOD mice compared with uninfected controls. Of note, splenic anti-CD3/anti-CD28–stimulated IL-13 and IFN-γ cytokine production from IL-4−deficient NOD mice was similar between age-matched nondiabetic and diabetic controls (Supplemental Fig. 1F, 1G). When compared with immunocompetent NOD mice, IL-4−deficient NOD mice had increased IFN-γ levels from spleen (Fig. 1C) and pancreatic lymph node cells (Fig. 1F), although in splenocytes this difference did not reach statistical significance. Taken together, these results demonstrated that, unlike immunocompetent NOD mice, IL-4−deficient NOD mice do not develop a type 2 shift in cytokine production when infected with L. sigmodontis.

**No type 2 shift in Ab production in L. sigmodontis-infected IL-4−deficient NOD mice**

The impaired type 2 shift observed in cytokine production in IL-4−deficient NOD mice was also present in Ab production. In mice, type 2 immune responses drive the production of IgE and IgG1, whereas type 1 responses induce production of IgG2 Abs. As expected, helminth-infected immunocompetent NOD mice had significantly increased levels of total IgE, as well as elevated insulin-specific IgG1 levels, compared with uninfected controls (Fig. 2A, 2B). In contrast, infected IL-4−deficient NOD mice did not develop measurable amounts of total IgE (detection limit, 188 ng/ml) or significantly increased levels of insulin-specific IgG1 compared with uninfected IL-4−deficient NOD mice (Fig. 2A, 2B). Total IgE and insulin-specific IgG1 levels in infected IL-4−deficient NOD mice were significantly lower compared with infected immunocompetent NOD mice, whereas insulin-specific IgG2c levels (Fig. 2C) were significantly increased only in infected IL-4−deficient NOD mice. These results demonstrated that, in the absence of IL-4, L. sigmodontis infection of NOD mice induces a type 1, rather than a type 2, shift of Ab isotypes against insulin, one of the main autoantigens in diabetes.

**Infection of IL-4−deficient NOD mice with L. sigmodontis prevents the onset of diabetes**

To determine whether type 2 responses were required for L. sigmodontis-mediated protection against type 1 diabetes in NOD mice, we measured serial blood glucose levels of infected and uninfected IL-4−deficient NOD mice and conducted histology on their pancreas at study end point.

As seen in Fig. 3, NOD mice that were deficient for IL-4 were still protected against diabetes by infection with L. sigmodontis. Although none of the infected IL-4−deficient NOD mice developed diabetes, 67% of uninfected IL-4−deficient controls developed diabetes (Fig. 3A). These results are similar to disease development in immunocompetent NOD mice, where none of the infected NOD mice, but 85% of the uninfected controls, developed diabetes (Fig. 3A).

Histology performed 1–2 wk after the uninfected controls developed diabetes revealed that the total number of pancreatic islets was significantly increased in age-matched helminth-infected IL-4−deficient NOD mice compared with uninfected IL-4−deficient controls (Fig. 3B). Furthermore, >50% of the islets from helminth-infected IL-4−deficient NOD mice were healthy islets, whereas uninfected controls had, on average, <10% of remaining islets classified as healthy and >50% of remaining islets documented as heavily infiltrated. Although helminth infection pre-
vented the onset of diabetes, it is important to note that infection did not reverse or completely stop the inflammatory response in the pancreas because we observed, on average, 23% of islets in helminth-infected mice with 50% of infiltrated lymphocytes.

These histological results of the pancreases were similar to results we reported previously in immunocompetent NOD mice (1). Natural regulatory T cells do not appear necessary for L. sigmodontis-mediated protection against type 1 diabetes

Because helminths are potent inducers of regulatory immunological networks that may prevent autoimmune diabetes, we investigated L. sigmodontis-induced regulatory responses. Natural regulatory T cells were identified by flow cytometry as CD4+CD25+Foxp3+ T cells (Fig. 4A). Analysis performed 1–2 wk after the controls developed diabetes revealed significantly higher frequencies of natural regulatory T cells in the spleens of infected NOD mice compared with uninfected controls (Fig. 4B). Infection of IL-4–deficient NOD mice caused an increase in total numbers of splenic CD4+CD25+Foxp3+ cells in comparison with uninfected IL-4–deficient NOD mice (Fig. 4C), although the difference in regulatory T cell frequencies between those groups was not statistically significant. Frequencies and total numbers of regulatory T cells in the pancreases of infected and uninfected animals were not significantly different (data not shown). Analysis of age-matched diabetic and nondiabetic uninfected mice revealed that diabetes status did not alter frequencies or numbers of CD4+CD25+Foxp3+ cells in uninfected controls (Supplemental Fig. 2A,2B).

The regulatory T cells were further characterized by their expression of CTLA-4, a molecule that inhibits T cell responses, and Ki67, a marker for proliferation. The total number of CD4+Foxp3+ spleen cells that expressed CTLA-4 after stimulation with anti-CD3/anti-CD28 was increased in L. sigmodontis-infected animals compared with controls (Fig. 4D), and spontaneous expression of the proliferation marker Ki67 was significantly increased in CD4+CD25+Foxp3+ spleen cells from helminth-infected animals compared with uninfected controls (Fig. 4E), as well as after stimulation with anti-CD3/anti-CD28 (data not shown). These results demonstrated that L. sigmodontis infection upregulates CD4+CD25+Foxp3+ cells and increases their basal proliferation and activation-induced CTLA-4 expression.

FIGURE 1. IL-4–deficient NOD mice fail to develop type 2 cytokine responses during L. sigmodontis infection. Type 1 and 2 cytokine production from splenocytes and pancreatic lymph node cells of uninfected (U) and L. sigmodontis-infected (L.s.) immunocompetent and IL-4–deficient NOD mice 1–2 wk after the controls developed diabetes. Splenic production of IL-4 (A), IL-13 (B), and IFN-γ (C) and production of IL-4 (D), IL-13 (E), and IFN-γ (F) from pancreatic lymph node cells that were stimulated with anti-CD3/anti-CD28. Each dot represents one mouse. Data are combined from two to four independent experiments. *p < 0.05, **p < 0.01, ***p < 0.001, Kruskal–Wallis test, followed by Dunn post hoc multiple comparisons.

FIGURE 2. Helminth-infected IL-4–deficient NOD mice develop a predominant type 1 shift in Ab isotype profiles. Plasma levels of total IgE (ng/ml) (A), insulin-specific IgG1 (B), and insulin-specific IgG2c (OD) (C) of uninfected (U) and L. sigmodontis-infected (L.s.) immunocompetent and IL-4–deficient NOD mice 1–2 wk after the controls developed diabetes. Each dot represents one mouse. Data are combined from two to four independent experiments. *p < 0.05, **p < 0.01, ***p < 0.001, Kruskal–Wallis test, followed by Dunn post hoc multiple comparisons.
Additional flow cytometric analyses were conducted in a single experiment to evaluate other regulatory cell populations. As seen in Fig. 4I, L. sigmodontis infection increased the frequency of splenic CD1d<sup>-</sup>CD5<sup>-</sup>B220<sup>+</sup> regulatory B cells compared with uninfected controls in wild-type, but not IL-4-deficient, NOD mice, although this difference did not reach statistical significance. The percentages of splenic CD8<sup>+</sup> T cells that exhibited a regulatory CD25<sup>+</sup>Foxp3<sup>+</sup> phenotype were similar between infected NOD mice and controls, independent of their ability to produce IL-4 (Fig. 4G).

To analyze whether regulatory T cells are necessary for L. sigmodontis-mediated protection against type 1 diabetes, we first monitored development of diabetes in NOD mice administered repeated injections of anti-CD25 Ab (clone PC61) in two independent experiments. PC61 efficiently depletes CD25<sup>+</sup> cells and was previously used by several investigators to evaluate the role of regulatory T cells, which can express CD25 when activated. Therefore, it is not possible to definitively conclude from this experiment using PC61 Ab whether regulatory T cells are required for helminth-mediated protection against diabetes in NOD mice.

To clarify the role of regulatory T cells in helminth-mediated protection against diabetes onset, we next conducted experiments in which different splenocyte populations sorted from eGFP Foxp3<sup>+</sup> mice were transferred into NOD.scid mice. Transfer of spleen cells from a diabetic donor into NOD.scid mice is commonly used as an inducible type 1 diabetes model, because NOD.scid mice do not spontaneously develop diabetes. As evident in Fig. 4I, i.v. transfer of 8 x 10<sup>6</sup> spleen cells from diabetic NOD mice into NOD.scid mice induced diabetes starting at 26 d posttransfer, with diabetes developing in 50% of animals at 32 d posttransfer. Transfer of 1 x 10<sup>7</sup> spleen cells from uninfected NOD mice that were 14 wk of age, a time point at which insulinitis is typically present but overt diabetes is not yet manifest, resulted in diabetes onset in NOD.scid recipients, albeit at substantially later time points than observed after transfer of cells from diabetic mice (50% diabetes onset: nondiabetic splenocytes day 72, diabetic splenocytes day 32, Fig. 4I). Transfer of the same number of splenocytes from 14-wk-old uninfected animals after depletion of Foxp3<sup>+</sup> cells by FACS sorting of eGFP Foxp3<sup>+</sup> cells did not substantially alter diabetes development (initial diabetes onset: Foxp3-depleted, day 40, Foxp3-containing, day 50; 50% diabetes onset day 79 versus day 72). In marked contrast to transfer of nondiabetic splenocytes from uninfected mice, splenocytes of 14-wk-old NOD mice infected with L. sigmodontis for 8 wk exhibited almost no capacity to induce diabetes. Although 75% of the NOD.scid mice that received spleen cells from nondiabetic uninfected NOD mice developed diabetes, only one of six mice that received whole splenocytes from infected mice and none of the mice that received splenocytes depleted of Foxp3<sup>+</sup> cells from L. sigmodontis-infected animals developed diabetes up to 125 d posttransfer (Fig. 4I). These results demonstrated that splenocytes of NOD mice infected with L. sigmodontis for 8 wk have little ability to induce autoimmune diabetes and suggested that Foxp3<sup>+</sup> regulatory T cells, at least at that point of transfer, are not necessary to actively suppress autoimmunity.

To more directly test whether delayed diabetes onset after transfer of splenocytes from infected mice was due, in part, to active suppression of effector cells at time of transfer, we next conducted a series of experiments in which we transferred mixed splenocyte populations. Each of these mixed-transfer experiments was conducted three times. Addition of 1 x 10<sup>7</sup> splenocytes from 14-wk-old uninfected animals after depletion of Foxp3<sup>+</sup> cells obtained from uninfected diabetic mice did not delay diabetes onset, with 50% of NOD.scid mice developing diabetes at day 28 after transfer of the combined splenocyte population compared with day 32 after transfer of just 8 x 10<sup>6</sup> splenocytes from diabetic mice (data not shown). Depletion of Foxp3<sup>+</sup> cells from the splenocytes of nondiabetic infected and uninfected donors resulted in the onset of diabetes at 28 d posttransfer in 50% of the animals of both groups when these cells were combined with splenocytes of a diabetic mouse during transfer (data not shown). These experiments suggest that splenocytes of L. sigmodontis-infected mice do not have the ability to actively down-regulate autoimmunity induced by splenocytes of diabetic mice when transferred into NOD.scid mice.

**TGF-β, but not IL-10, is required for helminth-mediated protection**

Because the administration or expression of the anti-inflammatory cytokines IL-10 and TGF-β was shown to prevent the onset of diabetes in NOD mice, and because both of these cytokines were shown to play important roles in the immunoregulation that takes
place in chronic filariasis (36–38), we tested whether *L. sigmodontis*-mediated protection against diabetes onset is dependent on TGF-β or IL-10. Infection with *L. sigmodontis* for 10–19 wk did not increase splenic IL-10 production compared with uninfected mice in either IL-4–deficient or immunocompetent NOD mice (Fig. 5A). As with T regulatory cells, no differences were seen in IL-10 production from splenocytes of age-matched diabetic and nondiabetic uninfected wild-type and IL-4–deficient NOD mice (Supplemental Fig. 2C). IL-4–deficient NOD mice produced less IL-10 compared with immunocompetent NOD mice, although this difference did not reach statistical significance in helminth-infected mice. Splenic production of TGF-β was slightly increased in *L. sigmodontis*-infected immunocompetent and IL-4–deficient NOD mice compared with uninfected controls, but these differences did not reach statistical significance (Fig. 5B). IL-4–deficient NOD mice produced significantly more splenic TGF-β

FIGURE 4. Regulatory T cells are not required for *L. sigmodontis*-mediated protection against type 1 diabetes. A, Gating strategy for flow cytometric identification of regulatory T cells. Lymphocytes were gated by forward scatter (FSC) and side scatter (SSC) characteristics (left panel). CD4+ lymphocytes were gated (middle panel) and analyzed for CD25 and Foxp3 positivity (right panel). Frequency (B) and total number (C) of splenic CD4+CD25+Foxp3+ T cells obtained from *L. sigmodontis*-infected (L.s.) and uninfected (U) IL-4–competent and IL-4–deficient NOD mice 1–2 wk after the controls developed diabetes. D, Total number of CD4+Foxp3+ spleen cells that express CTLa-4 in response to anti-CD3/anti-CD28. E, Frequency of CD4+CD25+Foxp3+ spleen cells that spontaneously express the proliferation marker Ki67. Frequency of splenic CD1dCD5+B220 regulatory B cells (F) and CD8+CD25+Foxp3+ regulatory T cells (G) obtained from *L. sigmodontis*-infected (L.s.) and uninfected (U) IL-4–competent and IL-4–deficient NOD mice 1–2 wk after the controls developed diabetes. H, Percentages of *L. sigmodontis*-infected (L.s.) and uninfected (U) NOD mice that developed diabetes (glucose > 230 mg/dl) while receiving anti-CD25 (PC61) or isotype control (IgG) weekly from 9 to 20 wk of age. I, Percentages of NOD.scid mice that developed diabetes after spleen cell transfer. NOD.scid mice received either spleen cells from diabetic NOD mice (♦), spleen cells from 14-wk-old uninfected NOD mice (♦), spleen cells depleted of Foxp3+ cells from 14-wk-old uninfected NOD mice (☐), spleen cells from *L. sigmodontis*-infected 14-wk-old mice (solid grey circle), or spleen cells depleted of Foxp3+ cells from 14-wk-old *L. sigmodontis*-infected NOD mice (open grey circle). Each dot represents one mouse. Data in A–E are combined from two to four independent experiments, data in F and G are from a single experiment, and data in H and I are the combined results from two and three independent experiments, respectively. Statistical significance was assessed using the Kruskal–Wallis test, followed by Dunn post hoc multiple comparisons. Differences between two unpaired groups were tested for significance with the one-tailed Mann–Whitney U test. *p < 0.05, **p < 0.01, ***p < 0.001.
than did immunocompetent NOD mice in the absence of infection (Fig. 5B). Bioactive TGF-β levels were detectable in the plasma of half of the L. sigmodontis-infected NOD mice at 10 wk (Fig. 5C) and 15 wk (data not shown) of age, whereas bioactive TGF-β concentrations were below the detection limit in all uninfected controls.

We tested the requirement of IL-10 and TGF-β for the helminth-mediated protective effect against diabetes onset in NOD mice by depleting TGF-β in two independent experiments or blocking IL-10R by repeated Ab treatment in a single experiment. Neither depletion of TGF-β nor blocking of IL-10R prevented L. sigmodontis development, because all NOD mice that were euthanized at 17 wk of age had two or three living adult worms present. Although 55% of isotype-treated infected animals developed diabetes by 19 wk of age, none of the animals that were infected with L. sigmodontis and received isotype control Ab developed diabetes by that time point (Fig. 5D). Treatment with anti–IL-10R substantially delayed the onset of diabetes in uninfected animals. Only one of eight uninfected NOD mice (12.5%) and none of the L. sigmodontis-infected NOD mice treated with anti–IL-10R developed diabetes by 19 wk of age (Fig. 5D). In contrast, treatment with anti–TGF-β reduced helminth-mediated protection against diabetes onset (17 wk of age: 29 versus 6% in L. sigmodontis-infected controls treated with isotype Ab, 53 versus 24% at 25 wk of age; Fig. 5E). Treatment with anti–TGF-β did not alter diabetes onset in uninfected mice (38 versus 35% for isotype-treated uninfected NOD mice at 17 wk of age).

L. sigmodontis infection does not decrease the Th17 immune response

To determine whether helminth infections may ameliorate autoimmune diseases by reducing potentially pathological Th17 immune responses, we analyzed IL-17 release and the frequency of CD4+IL-17+ cells from spleen and pancreatic lymph nodes of immunocompetent and IL-4–deficient NOD mice.

Analysis of the Th17 immune response occurred 1–2 wk after diabetes development in uninfected mice. L. sigmodontis infection of IL-4–competent or IL-4–deficient NOD mice did not significantly reduce splenocyte production of IL-17 (Fig. 6A) or frequencies of splenic CD4+IL-17+ T cells (Fig. 6B) compared with uninfected control mice. Indeed, IL-17 production from splenocytes of L. sigmodontis-infected IL-4–deficient NOD mice, which are protected against diabetes, was significantly greater than IL-17 production from uninfected control NOD mice. Similarly, there were also no significant differences in IL-17 production (Fig. 6C, tested with immunocompetent NOD mice) or frequencies of CD4+IL-17+ cells (Fig. 6D, tested with IL-4–deficient NOD mice) in pancreatic lymph nodes of L. sigmodontis-infected mice.

Discussion

Studies in both animal models and humans demonstrated that helminth infections and helminth Ags can protect against Th1-driven autoimmune diseases (1–9, 39–41). One of the leading hypotheses has been that helminth-induced type 2 responses may play an important role by downregulating autoimmune Th1 responses (17–22). However, the results of this study demonstrate that chronic helminth infection can protect against type 1-driven autoimmune disease, even in the absence of a Th2 response.

Although L. sigmodontis induced strong type 2 immune responses in immunocompetent NOD mice, infected IL-4–deficient NOD mice showed no evidence of a type 2 immune shift. Specifically, in contrast to infected immunocompetent NOD mice, L. sigmodontis-infected IL-4–deficient mice exhibited no significant increases in production of IL-5 or IL-13, failed to make any detectable IgE, and did not develop a type 2 shift in autoantigen-specific IgG Ab isotypes. Although IL-13 signals through IL-4Rα,
we believe that these results convincingly demonstrate that, in this study, IL-13 did not assume the role of IL-4 in inducing a type 2 immune shift. Despite this lack of a type 2 immune shift, all *L. sigmodontis*-infected IL-4–deficient NOD mice were protected from the onset of type 1 diabetes. Although the immune system of IL-4–deficient NOD mice develops in the absence of IL-4, and, thus, one might speculate that the protective immune responses exerted by helminths may be different in these mice than in wild-type mice, both our results and those of another study showed that IL-4 deficiency does not substantially alter the development of type 1 diabetes in NOD mice (42). Consequently, we believe that our results convincingly demonstrate that helminth infection can protect against autoimmunity in the absence of type 2 immune responses.

It is important to note that our finding that helminth-mediated autoimmune protection is not dependent on type 2 immune responses is in contrast to studies in experimental autoimmune encephalitis and trinitrobenzene sulfonic acid (TNBS)-induced colitis, which found that *Schistosoma* egg administration failed to protect against autoimmunity in mice deficient in STAT6 (21, 39) or depleted of IL-4 (39). Although this discrepancy may be due to intrinsic differences in the mechanisms by which *Schistosoma* eggs and live *L. sigmodontis* filarial worms protect against autoimmunity, we suspect it is more likely due to differences in the time course of disease progression in the various models of autoimmunity. Although disease development in the NOD model occurs over a period of months, TNBS-induced colitis was maximal 3 d after TNBS challenge (39) and experimental autoimmune encephalitis was maximal 15–17 d after disease induction (21). Thus, it is possible that helminth-induced immunoprotective responses that are not dependent on type 2 immunity are either not strong enough to counteract rapid autoimmune inflammation or require several weeks to develop. Because decreases in cellular proliferation and induction of regulatory T cells and down-regulatory cytokines become most apparent in BALB/c mice months postinfection with *L. sigmodontis* (43), we suspect that the latter explanation is more likely.

Type 2-independent mechanisms responsible for helminth-mediated protection against autoimmunity are generally thought to be due to the induction of immunoregulatory networks. Some of the mechanisms by which filariae have been shown to suppress immune responses during chronic infection include induction of regulatory T cells; production of downregulatory cytokines, such as TGF-β and IL-10; direct immunomodulation by parasite-produced molecules, such as protease inhibitors and cytokine homologs; and alterations in T cell activation by alternatively activated macrophages (43–45). Regulatory T cells are implicated in control of autoimmune diabetes, and recent reports suggested that loss of the suppressive function of regulatory T cells contributes to diabetes onset (23, 24, 46). This concept is supported by the finding that transfer of Ag-specific regulatory T cells or increase of endogenous regulatory T cell populations by IL-2 administration prevents the onset of diabetes in NOD mice (26, 27, 47). Accordingly, the protective effect of *Schistosoma* egg Ag against diabetes onset is associated with increased numbers of CD4+CD25+Foxp3+ T cells in NOD mice, and depletion of CD25+ regulatory T cells reverses this protective effect (48). Similarly, an increased total number of regulatory T cells in IL-4–deficient and immunocompetent *L. sigmodontis*-infected NOD mice was observed in our study. However, transfer experiments in this study suggested that the *L. sigmodontis*-mediated protective effect against diabetes onset is independent of Foxp3+ regulatory T cells. Unlike splenocytes of uninfected mice, transfer of spleen cells from *L. sigmodontis*-infected NOD mice into NOD.scid mice did not induce diabetes. This did not appear to be due to active immune regulatory factors during the time of transfer, because splenocytes of infected NOD mice did not suppress diabetes induction when mixed with splenocytes of uninfected NOD mice. Further, depletion of Foxp3+ cells from splenocytes of *L. sigmodontis*-infected mice did not increase diabetes incidence, suggesting that *L. sigmodontis*-induced protection is likely not dependent on continuous immune downmodulation by Foxp3+ regulatory T cells. Consistent with our results, *Heligmosoides polygyrus*-mediated protection against autoimmune diabetes in the absence of CD25+ regulatory T cells was recently reported (49), although our own results showed that depletion of CD25+ T cells by PC61 administration delayed diabetes onset, even in control animals, probably by depletion of effector T cells. Future studies may investigate whether *L. sigmodontis* infection is able to protect against cyclophosphamide-induced diabetes in NOD mice, a model in which the induction of diabetes is associated with the reduction of CD4+CD25+Foxp3+ regulatory T cells (50). Of note, because we did not conduct T
regulatory cell-depletion studies on IL-4-deficient mice, we cannot exclude the possibility that these cells may play an important role in L. sigmodontis-mediated protection against autoimmunity in the absence of type 2 immune responses.

IL-10 signaling was not required for the L. sigmodontis-mediated protective effect. Treatment with anti–IL-10R did not abolish the helminth-mediated protective effect but rather delayed the onset of diabetes in IL-10R–treated control animals, suggesting a disease-promoting role of IL-10. Such a diabetes-inducing role of IL-10 was reported earlier in studies that used genetic expression of IL-10 in pancreatic islet cells (29, 30), whereas other studies showed a protective role for IL-10 (31, 51). Similar to our study, absence of IL-10 signaling did not prevent H. polygyrus-mediated protection of experimental colitis or autoimmune diabetes in NOD mice (4, 49). This suggests that IL-10 signaling is not required for helminth-mediated protection against autoimmune diseases and may even contribute to autoimmune diabetes onset.

In contrast to IL-4, CD25+ cells, Foxp3+ cells, and IL-10, TGF-β was shown to be essential for L. sigmodontis-mediated protection against type 1 diabetes in this study, because administration of neutralizing Ab to TGF-β completely reversed the beneficial effects of infection. Interestingly, TGF-β was also shown to be necessary for type 1 diabetes prevention in NOD mice mediated by glatudic acid deacarboxylase and zymosan administration (24, 52). Given that chronic helminth infections upregulate TGF-β production and that TGF-β induces regulatory T cell populations and directly limits differentiation and activation of effector CD4 and CD8 T cells (reviewed in Ref. 53), it seems reasonable to conclude that TGF-β may indeed be one of the key regulatory molecules responsible for helminth-mediated protection against autoimmunity.

It is important to note that although our transfer experiments did not reveal a necessary role for Foxp3+ regulatory T cells 8 wk into L. sigmodontis infection, we cannot exclude the possibility that Foxp3+ regulatory T cells play an important role in helminth-mediated protection against autoimmunity at an earlier time point. Future work will focus on determining the cell types that release TGF-β during L. sigmodontis infection and the mechanisms by which TGF-β blocks diabetes development in helminth-infected NOD mice. Specifically, future studies will evaluate the effects that helminth infection has on frequencies of autotigent-specific CD4 and CD8 T cells and the role that TGF-β plays in the development of both of those cell types, as well as regulatory T cells. Because Burton et al. (52) described that TGF-β/PD-L1+ macrophages prevents diabetes onset in NOD mice by infiltrating the pancreas of zymosan-treated NOD mice and expanding Foxp3+ regulatory T cells, it will be interesting to investigate whether L. sigmodontis infection induces similar anti-inflammatory macrophage populations in the pancreas and whether L. sigmodontis-mediated protection is dependent on programmed cell death 1 ligand 1. Further, we will analyze in future studies the role of L. sigmodontis-induced NKT cells. NOD mice have a deficiency for NKT cells (54), and production by soluble Schistosoma worm or egg Ags was associated with the induction of Vα14 NKT cells (55). Interestingly, TGF-β was shown to induce Foxp3+ regulatory NKT cells, a cell population that prevented experimental auto-immune encephalitis (56). Because NKT cells can induce TGF-β production from myeloid cells and regulatory T cells, future studies will investigate whether L. sigmodontis infection protects NOD mice via the induction of NKT cells.

Further, given that some filariae secrete a TGF-β homolog that binds and signals through TGFβR (38, 57), future studies will evaluate whether L. sigmodontis produces a TGF-β homolog that is able to bind host TGFβRs and determine the relative contributions of helminth- and host-derived TGF-β. Of note, in this study we also evaluated IL-17 responses in NOD mice during chronic L. sigmodontis infection. Recent studies suggested that Th17 responses may have a role in the induction of type 1 diabetes (58–60), although this may be due to the plasticity of Th17 cells and their conversion to pathogenic Th1 cells (61). Our finding of equivalent levels of IL-17 production by CD4+ T cells in helminth-infected and uninfected mice suggests that L sigmodontis-mediated protection against diabetes does not occur by downregulation of Th17 responses.

In summary, this study demonstrated that L. sigmodontis-mediated protection against the onset of diabetes in the NOD model is very robust and does not depend on a Th2 shift, IL-10, or, after 8 wk of infection, Foxp3+ regulatory T cells. In contrast, TGF-β was found to be crucial for autoimmune protection by L. sigmodontis. Given that type 2 immune responses drive allergic disease and that these responses are not necessary for helminth-mediated protection against autoimmunity, these findings suggest that it may be possible to develop helminth-derived therapies for autoimmunity that induce protective regulatory mechanisms without upregulating potentially harmful proallergic Th2 immune responses.

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Disclosures

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References


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